

PCTWORLD INTELLECTUAL PROPERTY ORGANIZATION
International Bureau

INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁶: H01S 3/13	A1	(11) International Publication Number: WO 97/05679 (43) International Publication Date: 13 February 1997 (13.02.97)
(21) International Application Number: PCT/CA96/00416 (22) International Filing Date: 19 June 1996 (19.06.96) (30) Priority Data: 60/001,555 27 July 1995 (27.07.95) US (71) Applicant (for all designated States except US): JDS FITEL INC. [CA/CA]; 570 West Hunt Club Road, Nepean, Ontario K2G 5W8 (CA). (72) Inventors; and (75) Inventors/Applicants (for US only): COLBOURNE, Paul [CA/CA]; 45D Woodfield Drive, Nepean, Ontario K2G 3Y6 (CA). IP, Joseph [CA/CA]; 57 Drainie Drive, Kanata, Ontario K2C 3J7 (CA). TEITELBAUM, Neil [CA/CA]; 16 Carlyle Avenue, Ottawa, Ontario K1S 4Y3 (CA). (74) Agent: TEITELBAUM, Neil; Neil Teitelbaum & Associates, 16 Carlyle Avenue, Ottawa, Ontario K1S 4Y3 (CA).		(81) Designated States: AL, AM, AT, AU, AZ, BB, BG, BR, BY, CA, CH, CN, CZ, DE, DK, EE, ES, FI, GB, GE, HU, IS, JP, KE, KG, KP, KR, KZ, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, TJ, TM, TR, TT, UA, UG, US, UZ, VN, ARIPO patent (KE, LS, MW, SD, SZ, UG), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG). Published <i>With international search report.</i>
(54) Title: METHOD AND DEVICE FOR WAVELENGTH LOCKING		
(57) Abstract <p>A method and device for wavelength locking is provided, wherein an element having a wavelength dependent characteristic such as a Fabry Perot etalon is used to provide an output signal having an intensity that varies with wavelength. The intensity of a reference signal derived from an input signal is compared with an output from the Fabry Perot etalon to provide a feedback signal that corresponds to the frequency of the input signal. The system is calibrated before wavelength locking is performed to determine a ratio of intensities that determines a locked state or condition.</p>		

BEST AVAILABLE COPY

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AM	Armenia	GB	United Kingdom	MW	Malawi
AT	Austria	GE	Georgia	MX	Mexico
AU	Australia	GN	Guinea	NE	Niger
BB	Barbados	GR	Greece	NL	Netherlands
BE	Belgium	HU	Hungary	NO	Norway
BF	Burkina Faso	IE	Ireland	NZ	New Zealand
BG	Bulgaria	IT	Italy	PL	Poland
BJ	Benin	JP	Japan	PT	Portugal
BR	Brazil	KE	Kenya	RO	Romania
BY	Belarus	KG	Kyrgyzstan	RU	Russian Federation
CA	Canada	KP	Democratic People's Republic of Korea	SD	Sudan
CF	Central African Republic	KR	Republic of Korea	SE	Sweden
CG	Congo	KZ	Kazakhstan	SG	Singapore
CH	Switzerland	LI	Liechtenstein	SI	Slovenia
CI	Côte d'Ivoire	LK	Sri Lanka	SK	Slovakia
CM	Cameroon	LR	Liberia	SN	Senegal
CN	China	LT	Lithuania	SZ	Swaziland
CS	Czechoslovakia	LU	Luxembourg	TD	Chad
CZ	Czech Republic	LV	Latvia	TG	Togo
DE	Germany	MC	Monaco	TJ	Tajikistan
DK	Denmark	MD	Republic of Moldova	TT	Trinidad and Tobago
EE	Estonia	MG	Madagascar	UA	Ukraine
ES	Spain	ML	Mali	UG	Uganda
FI	Finland	MN	Mongolia	US	United States of America
FR	France	MR	Mauritania	UZ	Uzbekistan
GA	Gabon			VN	Viet Nam

Method and Device for Wavelength Locking

Field of the Invention

5 This invention relates to a device and method for wavelength locking.

Background of the Invention

10 There are many applications in the fields of telecommunications, medical instrumentation, optical computing and many other areas where it is required to have a optical signal having a stable wavelength within predetermined limits. For example, transmission of a plurality of signals having a plurality of stable different wavelengths allows transmission of different channels. Devices are commercially available that perform the function of "wavelength-locking" however, more often than not, these
15 devices are very costly and are sensitive to temperature change. One such wavelength locking device is offered for sale by Accuwave of Santa Monica, Ca. U.S.A.. By inputting a single frequency signal into the device, an output signal results with peaks on either side of a desired center wavelength. This device uses a lithium niobate block into which a volume hologram is written. The device is expensive owing to the high
20 cost of the lithium niobate material and the difficulty in writing precision volume holograms. Furthermore, once a hologram is written into the material the grating is fixed and cannot be changed. Accuwave's device has thermo-electric temperature control and has a variance of about 7 pico meters per degree. Another limitation of this design is that it will only operate at a temperature of 15 - 40 degrees.

25

 This invention provides a device and method for providing the basic function of the prior art device at less cost using an etalon or filter based device.

 An optical element in the form of a Fabry Perot etalon is utilized; the element
30 is a wavelength dependent device having an output characteristic, more particularly,

an output amplitude response that varies with a changing wavelength of an input signal.

Brief Description of the Drawings

5

Exemplary embodiments of the invention will now be described in conjunction with the drawings, in which:

Fig. 1 is a schematic block diagram of a wavelength locking system having two optical elements having a periodic wavelength response;

10 Fig. 1a is a schematic block diagram of a wavelength locking system similar to the one shown in Fig. 1 whereby optical fiber is used in place of the freespace configuration shown in Fig. 1;

Fig. 1b is a schematic block diagram of a wavelength locking system similar to that in Fig. 1, and having only a single optical element having a periodic response;

15 Fig. 2 is an alternative embodiment of a wavelength locking system with a single optical element having a periodic wavelength response;

Fig. 3 is an alternative embodiment of a wavelength locking system utilizing an optical filter in transmission and reflection mode;

20 Fig. 4 is a graph of two wavelength responses of the two optical elements shown in Fig. 1:

Fig. 5 is a graph of wavelength responses in transmission and reflection for the device shown in Fig. 3; and,

Fig. 6 is an illustration of a Fabry Perot etalon used in accordance with an embodiment of this invention.

25

Detailed Description

Referring now to Figs 1 and 4, a wavelength locking device as shown in Fig. 1 comprises two etalons 14a and 14b, having similar but different output responses. The
30 output response 22 as shown in Fig. 4 for etalon 14a is illustrated by a curve having a

periodic amplitude response that varies with wavelength. The free spectral range is shown to be 21 nm. and the free spectral range for the etalon 14b shown as output response 24 is 20 nm. The desired locking wavelength is 1550 nm which is where the output response 24 and output response 22 overlap. The window of interest 26 shown in dotted outline is between two peaks of respective responses 22 and 24. If the incoming wavelength remains in the window of interest 26, i.e. varying or drifting less than the distance between the two peaks, locking can be achieved.

Turning to Fig. 1, an input signal from a tunable laser 10 is directed toward the wavelength locking device. The input signal is split by a mirror 12, conveniently in a 50% ratio such that half of the input signal power is directed to etalon 14a, the remaining signal power being directed toward etalon 14b. Attached to the input and output faces of the etalons 14a and 14b are graded index (GRIN) lenses (not shown) for collimating and focusing light out of and into optical fibres coupled thereto. Typically, the optical fibres coupled to these lenses would be offset from the optical axes of these GRIN lenses so as to minimize back reflections. Detectors 16a and 16b are positioned to receive output signals with an intensity that is dependent upon the wavelength of the signals propagating within the respective etalons 14a and 14b. For example, when the input signal has a wavelength of 1550 nm, the detectors each receive a signal having the same intensity; however, when the input signal to the two etalons have a wavelength of 1551 nm, detector 16a coupled to etalon 14a receives a signal having an intensity much greater than that at detector 16b coupled to etalon 14b which receives a signal having an intensity near zero. As the wavelength of the input signal changes toward 1550 nm from 1551 nm, the ratio of the detected signals becomes more balanced. Conversely as the wavelength of the input signal changes below 1550 nm the detector 16a coupled to etalon 14a receives a signal having a reduced intensity, while detector 16b coupled to etalon 14b receives a signal having an increased intensity. Therefore, by providing a feedback signal from the detectors to the tunable laser 10, the laser's output signal can be stabilized. Circuitry 11 compares the output signals provided by the detectors 16a and 16b and adjusts the tunable laser

10 in dependence upon the ratio of the detector output signals, thereby tuning the laser and locking it about a desired wavelength of 1550 nm.

The embodiment shown in Fig. 1a is shown having optical fibers 8
5 interconnecting optical components. The etalons 14a and 14b have collimating graded index lenses 13a and 13b respectively coupled to each etalon;

Fig. 1b shows an alternative embodiment wherein a single periodic optical element in the form of an etalon 14a is used to provide an output signal. As in Fig. 1
10 the input signal is split at a predetermined ratio by a mirror 12 to provide two signals. A first of these signals is provided to the etalon 14a, while a second portion of the input signal termed the second signal, is provided directly to the detector 16b. The output signal of the etalon 14a is provided to detector 16a; as Fig. 4b illustrates the intensity of light detected at the detectors 16a and 16b is approximately the same when the
15 wavelength of the input signal is 1550 nm. Instead of comparing two signals whose intensity varies periodically with wavelength as is done in the circuit of Fig. 1, a reference signal, in the form of the second signal, is compared with the first signal that varies in wavelength. By providing a reference signal derived from the input signal the accuracy of the system is essentially maintained when the intensity of the input
20 signal fluctuates.

Turning now to Fig. 2, an alternative embodiment is shown, whereby a single etalon is used in a similar manner to that of Fig. 1. However, in Fig. 2 detectors 16a and 16b are coupled to different sides of the same etalon 14a. This embodiment is
25 similar in function to that of the previous embodiments: in Fig. 2 light that is transmitted through the etalon has a first amplitude response, and the remaining light that is coupled to an output port on the input end face of the etalon will have a different amplitude response when the wavelength is not locked. In the instance where the input signal is locked, at for example 1550 nm, as is shown in Fig. 4, the detectors
30 16a and 16b shown in Fig. 2 detect the same intensity of light. The curves shown in

Fig. 4 show the intensity at the input and output end faces respectively as is illustrated by curves 22 and 24. When the wavelength is not locked, the amplitude response at the two detectors 16a and 16b will differ. Of course, what is determined to be a locked state need not be the instance wherein the two detectors detect the same intensity as long as a calibration techniques account for a difference in detected amplitudes.

In both embodiments described heretofore, manufacture of the device can be conveniently realized, by utilizing a precise stable input signal having a desired locking wavelength. By transmitting this stable input signal into the device, the etalons can be modified to yield a desired output response. For example the spacing "d" between the partially reflecting surfaces of the etalon can be adjusted in such a manner as to obtain balanced detected output signals on the two detectors 16a and 16b; or, instead of physically varying the distance "d", the effective distance of the path taken by the light entering the etalon can be varied by changing the input angle of incident light entering the etalon. The wavelength shift is given by $\lambda = \lambda_0 \cos \theta$, where λ_0 is the wavelength of a transmission peak at normal incidence, θ is the beam angle in the medium between the etalon mirrors, and λ is the wavelength of the transmission peak at a beam angle θ .

In the instance wherein air or another gas is used in the space between the mirrors, a change in density or composition (pressure, temperature, or humidity) will affect the refractive index n of the air or medium, affecting the locking wavelength.

Thus, it is preferred to have the gas sealed or controlled to prevent wavelength drifting. An effective seal can be achieved by making a ring-shaped spacer to which transparent end-plates with the mirror coatings on the inner surfaces are attached with an airtight seal; one suitable method of attachment being "optical contacting".

It is preferred that the spacer material have a low thermal expansion coefficient α to reduce wavelength drifting $\Delta\lambda$ due to changes in temperature ΔT

$$\Delta\lambda = \Delta T \alpha \lambda$$

Suitable materials are fused silica ($\alpha \cong 5 \times 10^{-7} \text{ }^{\circ}\text{C}^{-1}$); $\Delta\lambda/\Delta T \cong 0.8 \text{ pm}/^{\circ}\text{C}$ at 1550 nm.
5 or Zerodur™ ($\alpha \cong 1 \times 10^{-7} \text{ }^{\circ}\text{C}^{-1}$) where $\Delta\lambda/\Delta T \cong 0.15 \text{ pm}/^{\circ}\text{C}$; both of these materials are suitable for optical contacting. Notably, the temperature drift is much less than it is for the prior art, therefore temperature control may not be necessary.

Referring now to Fig. 3, an alternative embodiment of the invention is shown
10 whereby a non-periodic filter element 18 is utilized in place of an etalon previously shown. The output response of the filter in transmission is shown in Fig. 5 by curve 29. The output response of the filter 18 in reflection is shown by curve 28. The intersecting point of the two curves at 1550 nm, the desired locking wavelength in this example, yields a balanced output signal at both of the detectors 16a and 16b.

15

The periodic device in the form of a Fabry Perot etalon, described heretofore, offers distinct advantages over the non-periodic filter 18. For example, the etalon 14a may be used to provide a stable output for an input signal having an unknown wavelength. The arrangement of Fig. 3 is only practicable in the operating range of
20 the filter. Furthermore, the device shown in Fig. 1 can be used to lock a number of spaced channels, wherein the channel spacing corresponds to a multiple FSR of the etalon.

Turning now to Fig. 6, a monolithic device is shown having partially
25 transmitting mirrors 60a and 60b forming a wedge at an angle γ . Adjacent to and spaced from the wedge is an etalon formed by two spaced partially reflecting mirrors 61 and 62. Two detectors a and b are positioned, separated by an angle 2γ to detect two beams. Conveniently, the monolithic device only requires a single etalon and is relatively compact. A first beam traveling along a straight through path traverses the
30 etalon such that the beam angle through the etalon mirrors is different than that of the

angle of the second beam directed at detector **b**. Hence the two detector responses will be different as in Fig. 4. Some light shown in dotted outline is not coupled to either of the detectors **a** or **b**, and is lost. The straight through beam suffers transmission loss on passing through mirrors 60a and 60b. The first reflected beam suffers transmission
5 loss from mirrors 60a and 60b, but also suffers loss on one reflection from each of 60a and 60b. Thus the output response when the device is determined to be locked will likely be such that the detectors **a** and **b** detect a different intensity. Therefore, pre-calibration may be used to determine the difference in amplitude of the signals received at the detectors **a** and **b** as a result of the geometry of the monolithic device.
10 Feedback circuitry (not shown) is provided in the form a comparator circuit which compares the detected intensities at the two detectors **a** and **b**. When the ratio of the intensities varies more than a predetermined amount from a pre-established ratio determined by pre-calibration, the wavelength of the input signal is adjusted accordingly.

15

One advantage of the device of Fig. 6 is that the wavelength difference between the responses of detectors **a** and **b** can be adjusted by varying the angle of the input beam in the plane of the page, and the average wavelength or locking wavelength can be adjusted by varying the angle of the input beam in a direction out
20 of the plane of the page. Thus, it is not necessary to have absolute control over the wedge angle γ or the etalon mirror spacing " d ".

Of course, numerous other embodiments may be envisage without departing from the spirit and scope of the invention.

Claims

What We claim is:

- 5 1. A method of maintaining a frequency of an optical beam to within predetermined limits comprising the steps of:
- a) providing a first portion of the optical beam to a first optical filter having an output intensity dependent upon a wavelength of the optical beam:
- 10 b) comparing the output intensity of the first portion of the optical beam that has passed through the optical filter with an intensity of a second portion of the optical beam; and,
- 15 c) adjusting the frequency of the optical signal in dependence upon the comparing step (b).
2. A method as defined in claim 1, wherein the second portion of the optical beam is provided to an optical filter having an output intensity dependent upon a wavelength
- 20 of the optical beam before performing step (b).
3. A method as defined in claim 1, further comprising the steps of:
- detecting the intensity of the first portion of the optical beam that has passed through the optical filter; and, detecting the intensity of the second portion of the optical beam.
- 25 prior to performing step (b).
4. A method as defined in claim 3, wherein the first optical filter is an optical cavity having a first natural resonating frequency.

5. A method as defined in claim 3, wherein said adjusting step (c) is performed only if the result of said comparing step is outside a predetermined limit.

6. A method of maintaining a frequency of an optical signal to within predetermined limits comprising the steps of:

a) providing at least a portion of the optical signal to an optical cavity that has a first natural resonating frequency, the cavity having an input port, and an output port at an output end;

b) detecting the intensity of light emitted from the output port at the output end; and

d) comparing the relative intensity of the light detected in step (b) with the intensity of a different beam derived from the optical signal to determine if the frequency is within the predetermined limits; and,

e) adjusting the frequency of the optical signal if the frequency is not within the predetermined limits.

7. A device for indicating a change in the wavelength of an input signal at an input terminal, comprising:

first detector means disposed to accept a first portion of the input signal and for detecting the intensity thereof,

second detector means disposed to accept a second portion of the input signal and for detecting the intensity thereof;

means for altering a characteristic of the first portion of the input signal, disposed between the input terminal and one of said detector means; and,

means for comparing output signals generated by said first and second detector

means.

8. A device as defined in claim 7, further comprising feedback means for providing a control signal derived from said means for comparing, for controlling the wavelength of the input signal and for stabilizing said wavelength.

9. A device as defined in claim 7, wherein the means for altering a characteristic of the first portion of the input signal disposed between the input and one of said detector means is further disposed between the input and the other of said detector means and alters a characteristic of the second portion of the input signal.

5

10. A device as defined in claim 7, wherein the means for altering a characteristic of the first portion of the input signal is comprised of an etalon.

11. A device for indicating a change in wavelength comprising:

10 means having at least one input port for receiving at least one input signal and two output ports for generating two output signals, said means having a periodic wavelength dependent characteristic such that by varying the wavelength an input signal presented to the input will effect a change in the characteristic of at least one of the two output signals;

15 and detector means for detecting the intensity of the two output signals; and, comparator means for comparing the intensity of the two output signals.

12. A device as defined in claim 11, wherein said means having a periodic wavelength dependent characteristic comprises a Fabry Perot optical cavity.

20

13. A device as defined in claim 11, wherein said detector means comprises two optical detectors.

14. A device as defined in claim 11, wherein the device comprises means for splitting the input signal coupled to the at least one input port, into two signals propagating in a non-parallel manner, and comprising a single etalon for receiving the two signals.

25

15. A device as defined in claim 14, wherein a path lengths across the single etalon for the two signals are unequal.

30

16. A device as defined in claim 11 including means for providing two optical signals from the at least one input signal, the means having a periodic wavelength dependent characteristic comprising an etalon, and wherein when the two optical signals traverse the etalon, they define different path lengths.

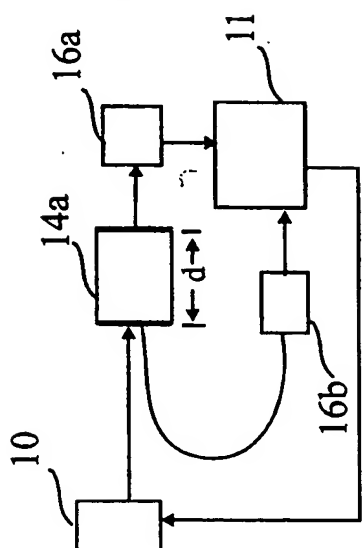


Fig. 2

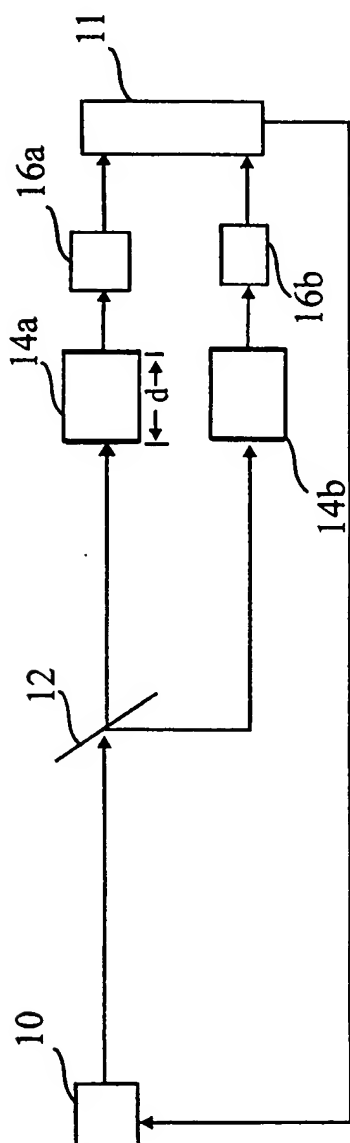


Fig. 1

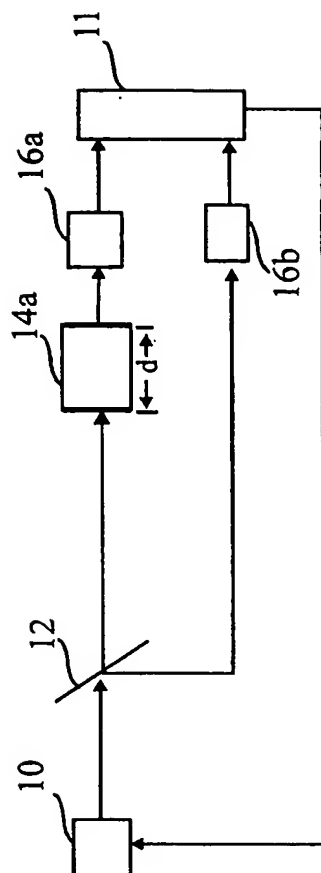
 $1/4$ 

Fig. 1b

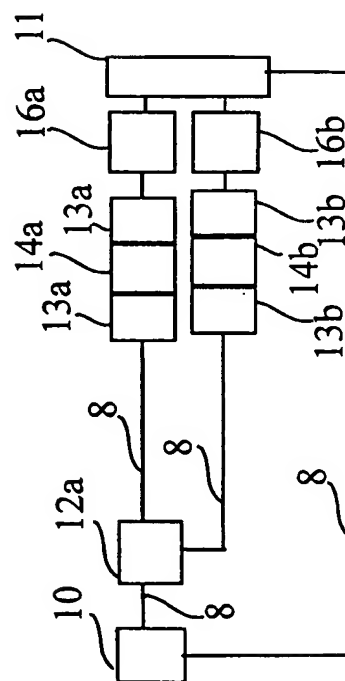


Fig. 1a

2/4

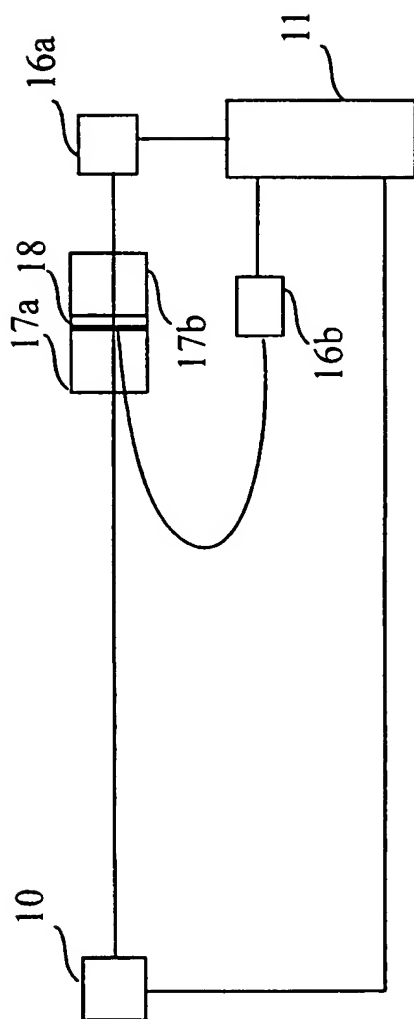
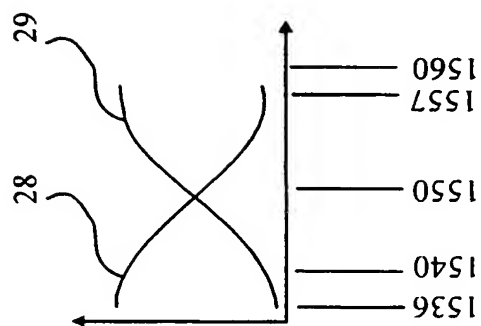
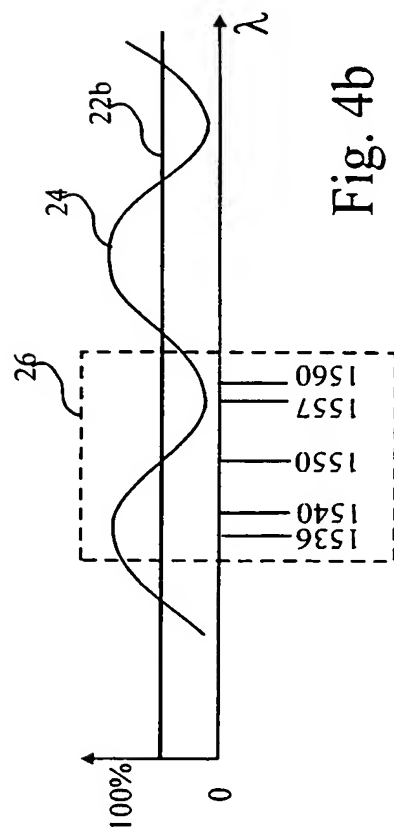
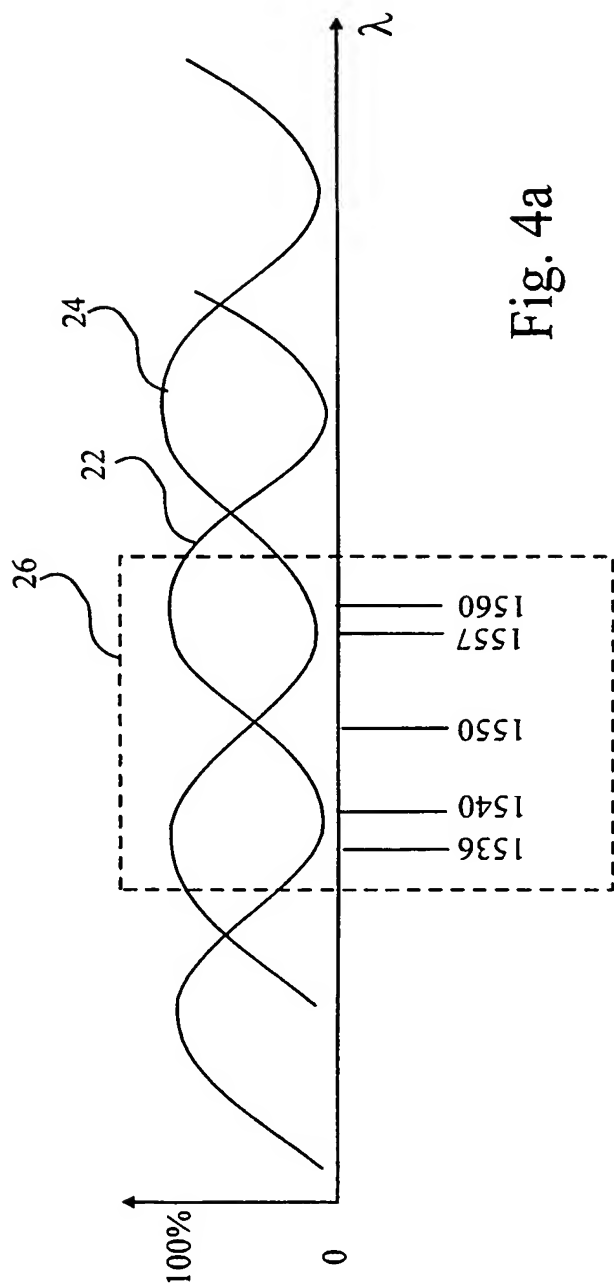


Fig. 3

3/4



4/4

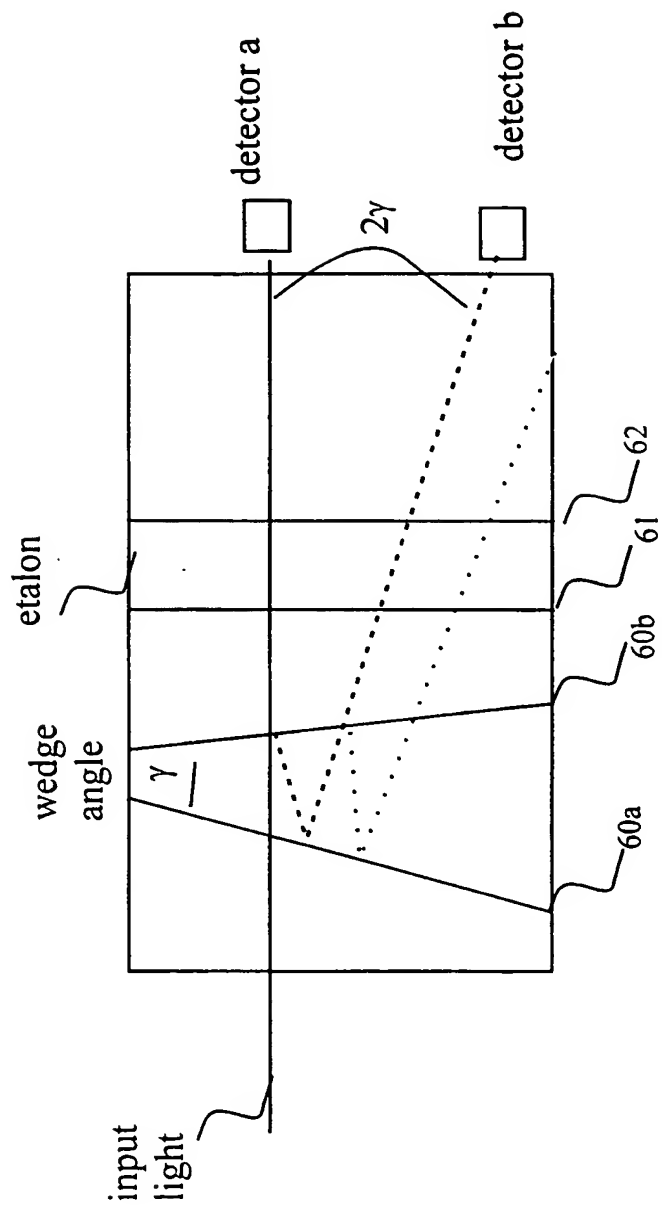


Fig. 6

INTERNATIONAL SEARCH REPORT

Int. Application No
PCT/CA 96/00416

A. CLASSIFICATION OF SUBJECT MATTER
IPC 6 H01S3/13

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)
IPC 6 H01S

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US,A,5 274 659 (HARVEY GEORGE T ET AL) 28 December 1993 see abstract ---	1-16
X	EP,A,0 001 714 (POST OFFICE) 2 May 1979 see abstract ---	1,3,5,7, 8
X	EP,A,0 479 118 (DORNIER GMBH) 8 April 1992 see abstract ---	1,3-8, 10-13
P,X	WO,A,95 20144 (BRITISH TELECOMM ; PRATT DAVID JOHN (GB)) 27 July 1995 see abstract; figure 1 -----	1-16

☐ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

* Special categories of cited documents :

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

- "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- "&" document member of the same patent family

Date of the actual completion of the international search

2 October 1996

Date of mailing of the international search report

16.10.96

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2
NL - 2280 HV Rijswijk
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,
Fax (+31-70) 340-3016

Authorized officer

Galanti, M

INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/CA 96/00416

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US-A-5274659	28-12-93	US-A- 5212711	18-05-93
		JP-A- 6013691	21-01-94
		EP-A- 0557018	25-08-93
		JP-A- 6085366	25-03-94

EP-A-0001714	02-05-79	GB-A,B 2007015	10-05-79
		JP-B- 1012113	28-02-89
		JP-C- 1554568	23-04-90
		JP-A- 54074386	14-06-79
		US-A- 4309671	05-01-82

EP-A-0479118	08-04-92	DE-A- 4031372	09-04-92

WO-A-9520144	27-07-95	NONE	

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

☐ BLACK BORDERS

☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES

☒ FADED TEXT OR DRAWING

☒ BLURRED OR ILLEGIBLE TEXT OR DRAWING

☐ SKEWED/SLANTED IMAGES

☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS

☐ GRAY SCALE DOCUMENTS

☒ LINES OR MARKS ON ORIGINAL DOCUMENT

☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY

☐ OTHER: _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.